



# Professional Diploma in the Management of Governance, Risk and Compliance



57%

of senior-level executives rank "risk and compliance" as one of the top two risk categories they feel least prepared to address

# Develop a culture of integrity and compliance with organisational values

**Senior executives and compliance professionals today are scrutinised against the most exacting professional standards and face unprecedented challenges.**

The Professional Diploma in the Management of Governance, Risk and Compliance has been developed in close conjunction with a range of industry and regulatory stakeholders and is designed to give executives a firm grasp on the paths they need to navigate. It provides participants with the management skills to function optimally in a compliance environment by building and enhancing their leadership and management competencies across the board.

This programme is relevant for regulated and unregulated sectors alike such as; insurance, pharmaceuticals, telecommunications, construction, banking, FMCG, transportation and regulatory bodies.

**This programme is for you if:**

- › You are an executive who wishes to broaden your knowledge of ethical governance and forge a culture of compliance in your organisation.
- › You are a manager with specific compliance responsibilities seeking to hone in on your management skills and develop practical expertise in a focused learning environment.
- › You are a senior executive with board-level responsibilities or would like to reach a senior level, where proven awareness of key governance areas is fast becoming a prerequisite.



**A Graduate's View:**

// The programme has facilitated a critical assessment of the DSPCA's corporate governance policy, strategy and practice and will continue to benefit the organisation's efforts in the governance and compliance fields. //

**Mairead Fleming, Chair**

Dublin Society for the Prevention of Cruelty to Animals



## Programme Themes:

### 1 Principles of Compliance

- › Acquire the measuring, monitoring and reporting frameworks needed for best practice compliance structures in both local and international contexts.
- › Develop your ability to carry out effective compliance training and communicate its benefits across the organisation.

### 2 Negotiation and Influencing Strategy in a Compliance Setting

- › Enhance your negotiation skills and ability to develop negotiation strategy.
- › Create value through distributive negotiations.
- › Secure optimal outcomes in dispute resolutions.

### 3 Ethical Leadership and Management

- › Explore the case for ethical leadership and the application of ethical theories.
- › Articulate and apply the theories and principles of compliance and ethical leadership.

### 4 Social Responsibility and Change Management

- › Evaluate CSR frameworks and best practices, integrating social responsibility into the corporate strategy.
- › Develop frameworks for implementing change, learning how to better lead and influence that change.

### 5 Risk Management for Compliance Professionals

- › Enhance awareness and appreciation of professional interaction with regulators, senior management teams and the board.
- › Critically assess risk issues across a range of compliance settings and develop your ability around risk-based project management.
- › Design internal governance systems, and how to detect fraud.

### 6 Corporate Governance Essentials

- › Define risk and compliance responsibilities, and about the duties and potential liabilities of company directors.
- › Discover best practice when it comes to board structure and composition and be conversant on models of corporate governance for a range of organisational types.

## Professional Diploma in the Management of Governance, Risk and Compliance

## This programme will equip you to:



Critically assess the strategic objectives of a business and the essential support pillars that governance and compliance can offer.



Develop an understanding of Information Governance and the emerging challenges associated with digital data management and data privacy regulations.



Better explore the challenges for organisations of managing under different models of compliance, including a social responsibility agenda.



Be conversant on models of corporate governance for a range of organisational types, and be able to apply them.

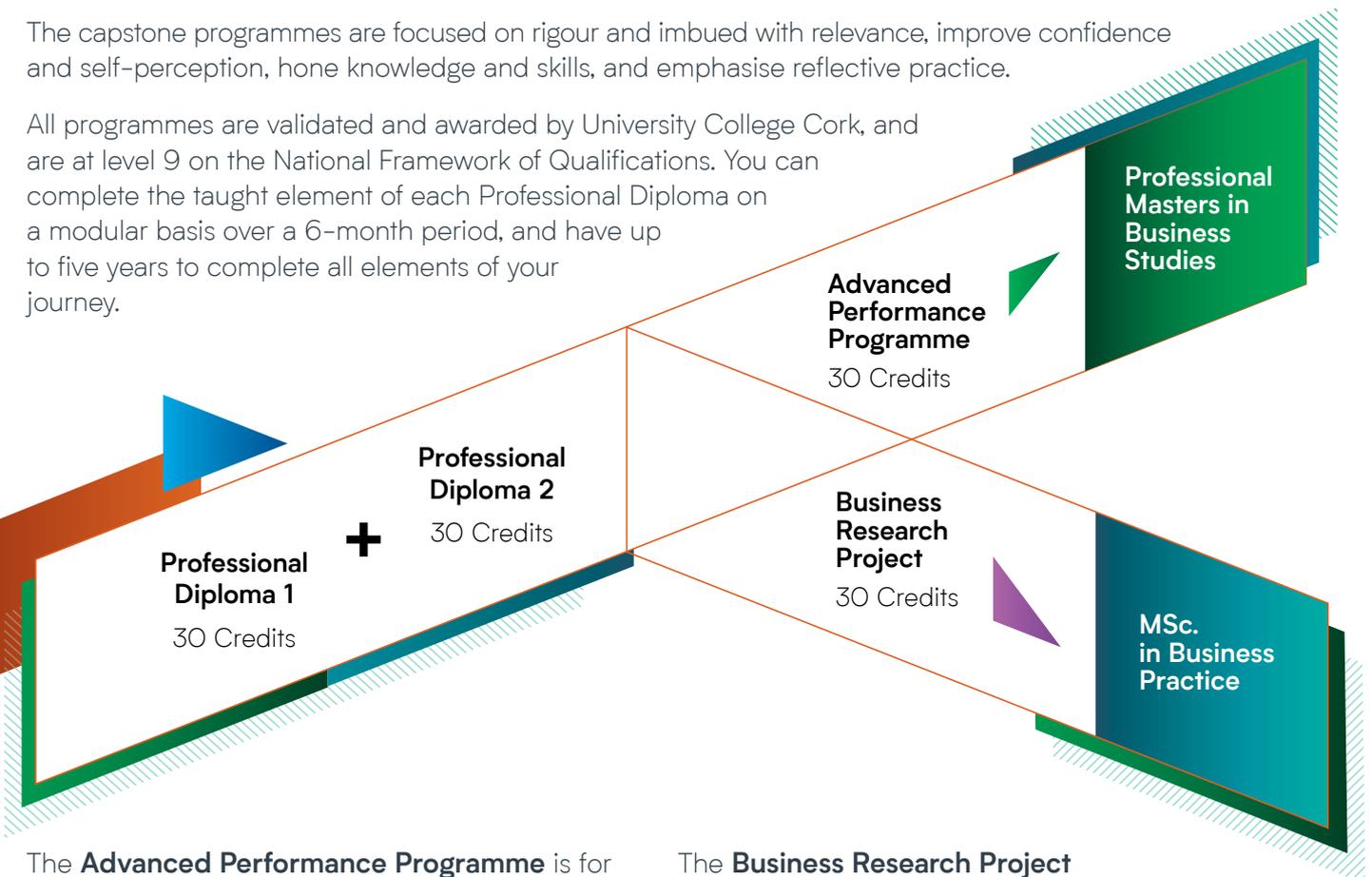
# Master of Business Framework

The Master of Business gives you the flexibility to choose the subjects that are right for your needs, while building up to a Masters Degree qualification. Each element of your journey promises to be an energising learning experience leading to a world class qualification.

There are two pathways you can take to achieve your Masters qualification with IMI. Both pathways require you to complete two Professional Diplomas before choosing a final capstone programme to bring your learning together in an integrative experience, that will positively impact your professional career and your organisation.

The capstone programmes are focused on rigour and imbued with relevance, improve confidence and self-perception, hone knowledge and skills, and emphasise reflective practice.

All programmes are validated and awarded by University College Cork, and are at level 9 on the National Framework of Qualifications. You can complete the taught element of each Professional Diploma on a modular basis over a 6-month period, and have up to five years to complete all elements of your journey.



The **Advanced Performance Programme** is for professionals who want to bring their leadership capabilities to a new level. Through a blended focus on the environment, the organisation, and the individual, the APP serves to synthesise the learning outcomes of your IMI journey.

Designed and delivered in partnership with Cork University Business School, this pathway will lead to a Professional Masters in Business Studies, Ireland's first Professional Masters of its kind.

The **Business Research Project**

is for those professionals who want to address a complex business challenge and execute a strategy or develop a strategic response that could change the future of their organisation.

This pathway will lead to a MSc. in Business Practice award.

# Programme Delivery

IMI programmes are delivered through a blend of live face-to-face and virtual sessions via the IMI Virtual Learning Environment. Our High Impact Transformation learning approach lies at the core of all IMI programmes, meaning these sessions are always interactive, engaging and experiential.

Action and experiential learning — doing and reflecting — is a highly effective leadership and team development technique, which you will find enjoyable, challenging and in many cases transformational.

## Dates:

Facilitated Workshops: Virtual & On-Site | Dublin

1) 19, 20 & 21 May 2021

2) 16, 17 & 18 June 2021

3) 21, 22 & 23 July 2021

4) 18, 19 & 20 August 2021

5) 15, 16 & 17 September 2021

6) 13, 14 & 15 October 2021

\* Facilitated Workshops will be delivered virtually this Spring 2021 via the IMI Virtual Learning Environment (VLE) to your desktop. We will continue to monitor government guidelines and when restrictions allow, we will revert to the below blended format of delivery.

## Facilitated Workshop 1



Day 1 of the Facilitated Workshop 1 will be delivered at the IMI campus in Sandyford, Dublin. Day 2 & 3 of the Facilitated Workshop 1 will be delivered via the IMI Virtual Learning Environment (VLE) to your desktop.

## Facilitated Workshops 2-6



Day 1 & 2 of the Facilitated Workshops 2-6 will be delivered via the IMI Virtual Learning Environment (VLE) to your desktop. Day 3 of Facilitated Workshops 2-6 will be delivered at the IMI campus in Sandyford, Dublin.



**Noel Ford**

Programme Director

Mr Ford MBA, CIFD, CMC is a vastly experienced executive and non-executive director, international governance, risk and compliance consultant and author. He has over 30 years' experience in the international funds industry. He was formally the CEO of Skandia Global Funds PLC and President of Skandia Securities America Inc. He currently serves as Principal and Director with Governance Ireland and serves on a number of international and domestic boards.



### Online Delivery

Combination of:

- Live Zoom faculty-led sessions
- Supporting online content & activities accessed via the Moodle learning platform
- Live or online (Moodle forum) group work & discussion



### In-IMI Delivery

Combination of:

- Live faculty-led sessions
- Experiential activities
- Fusion of learning
- Live group work & discussion
- Learning material (slides) available on Moodle

### Price:

Corporate Member: €8,390

SME: €9,190

Non-Member: €9,990

For more information, including a full listing of facilitated workshop dates, please contact our programme advisors at [programmeadvisors@imi.ie](mailto:programmeadvisors@imi.ie).

# Participant information

## Entry Requirements

A candidate's eligibility for each programme will be determined by a combination of their academic qualifications and/or relevant professional experience. Each applicant will be required to complete the IMI "Portfolio of Learning" application form. A primary degree from a recognised third-level institution (NFQ level 8), with a minimum classification of Second Class Honours, will typically be required with five years relevant work experience, at least 3 of which must be at managerial level, however, prior workplace learning will be recognised where applicants do not meet the academic requirement.

This experience may come from a general business or organisational background, or experience working at a management level or in the area specifically related to the Diploma.

Each candidate will meet with the Programme Directors, who will interview applicants in person virtually or physically. The IMI Office of the Registrar will oversee all applications, and the final decision on any application shall remain with the Registrar.

All applicants whose first language is not English will be required to show evidence of the successful completion of an IELTS or TOEFL test. The English language requirement for this programme is an IELTS score of 6.5 with a minimum of 6.0 in each individual section or equivalent.

\*Programmes scheduled subject to cohort size.



## Validation

Each Professional Diploma is awarded by University College Cork and is at level 9 on the National Framework of Qualifications.

## Assignments and Workload

To earn a Masters Degree or Professional Diploma, participants will be assessed through a variety of practical assignments. Programmes will have no examinations; instead, the emphasis throughout is on the practical application of knowledge to benefit you and your organisation. Assessment workload extends across the programme to allow you to combine your learning with your work and life demands.



**Executive Education**  
Ranking 2020